

CP1741

PATENT  
Docket No.: Q112-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Alan Ruth et al.

Application No.: 09/842,790

Group Art Unit: 1741

Filing Date: April 25, 2001

Examiner: N/A

Title: LITHIUM ION BATTERY  
SUITABLE FOR HYBRID  
ELECTRIC VEHICLES

COPY OF PAPERS  
ORIGINALLY FILED

CERTIFICATE OF MAILING VIA  
CERTIFIED FIRST CLASS MAIL (37 CFR 1.8)  
Dated August 29, 2002

RECEIVED  
SEP 6 2002  
TC 1700

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that the following attached documents are being deposited with the United States Postal Service as first class certified mail under 37 CFR 1.8 on the date indicated above and are addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

1. Transmittal Letter for Information Disclosure Statement (in duplicate)
2. PTO Form 1449 with copy of references
3. Self addressed stamped return postcard

August 29, 2002

Date of Deposit

Lisa K. Robbins

Name of Person Mailing paper or fee

Signature

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
Q112-US1

In Re Application Of: **Alan Ruth et al.**

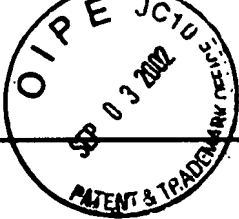
Serial No.  
09/842,790

Filing Date  
April 25, 2001

Examiner  
N/A

Group Art Unit  
1741

Title: **LITHIUM ION BATTERY SUITABLE FOR HYBRID ELECTRIC VEHICLES**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED  
SEP 6 2002  
TC 1700  
COPY OF PAPERS  
ORIGINALLY FILED

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
Q112-US1

In Re Application: Alan Ruth et al.

Serial No.  
09/842,790

Filing Date  
April 25, 2001

Examiner  
N/A

Group Art Unit  
1741

**LITHIUM ION BATTERY SUITABLE FOR HYBRID ELECTRIC VEHICLES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0921 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: 8-29-02

M. Elizabeth Bush  
Reg. No. 38,402  
Quallion LLC Legal Department  
12744 San Fernando Road, Bldg. #4  
P.O. Box 923127  
Sylmar, CA 91392-3127

CC:

RECEIVED  
SEP 6 2002  
TC 1700  
COPY OF PAPERS  
ORIGINALLY FILED